



Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade

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Compliance Committee for the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade Second meeting

Rome, 19–21 March 2024, and Online, 30 September 2024

Report of the Rotterdam Convention Compliance Committee on the work of its second meeting (online, 30 September 2024)

1. As mentioned in the report of the face-to-face session of the second meeting of the Compliance Committee (UNEP/FAO/RC/CC.2/12), the Committee decided to suspend its second meeting on 21 March 2024 and to resume in an online session at a time to be organized by the Chair in consultation with the Secretariat.
2. On 17 April 2024 the Chair, Osvaldo Álvarez-Pérez, and Vice-Chair, Karoliina Anttonen, agreed to schedule the online session of the resumed second meeting of the Committee on 30 September 2024 to address the items of the agenda consideration of which had not been completed at the face-to-face session. These were: item 4 on Specific submissions regarding Party implementation and compliance, specifically the submission regarding Barbados (sub-item (a)); Review of systemic issues of general compliance with a focus on Laws, regulations, policies, procedures and other measures to implement the Rotterdam Convention (sub-item (a)); Notifications of final regulatory actions (sub-item (b)); Exports and imports of chemicals listed in Annex III (sub-item (c)); Export notifications (sub-item (d)); Information submission (sub-item (f)); Enhanced cooperation with the Committee Administering the Mechanism for Promoting Implementation and Compliance of the Basel Convention (sub-item (g)); as well as Agenda item 6 on the Work Programme for the biennium 2026–2027.
3. The present addendum complements the report of the second meeting of the Committee in relation to the items mentioned in paragraph 2 of the present report and in relation to item 7 on other matters, item 8 on adoption of the report of the second meeting, and item 9 on closure of the meeting.

I. Organizational matters

4. Resuming the meeting, the Chair of the Committee, Mr. Álvarez-Pérez, recalled that the work of the Committee was intended to assist Parties in their efforts to implement and comply with the Convention. He reminded the meeting of the participation of observers from Parties and non-governmental organizations pursuant to paragraph 8 of Annex VII to the Convention.
5. He also reminded the meeting of the provisions of paragraphs 8 and 9 for when the Committee is dealing with submissions regarding Party compliance pursuant to paragraphs 12 and 13 of Annex VII of the Convention. Specifically, the meetings are open to Parties and closed to the public unless the Party whose compliance is in question agrees otherwise. The Committee had agreed at the face-to-face session that if representatives of a Party concerned by a specific submission were present, they could request that the meeting be closed to all observers.
6. The Chair brought to the attention of the meeting that the Committee was to resume its consideration of the submission regarding Barbados and that a representative of Barbados was present. The Chair also recalled that sessions dealing with the examination of systemic issues of general compliance were open to the participation of observers.

7. Observers from Brazil and one non-governmental organization participated in the open part of the online session. The list of participants to the second meeting of the Committee is set out in document UNEP/FAO/RC/CC.2/INF/6/Rev.1.

8. The Committee considered the tentative schedule for the meeting proposed in document UNEP/FAO/RC/CC.2/INF/1/Add.1 and agreed to proceed along the lines proposed therein.

II. Specific submissions regarding Party implementation and compliance

A. Submission regarding Barbados

9. Following a request from the representative of Barbados that the meeting be closed to Parties as well as to other observers, the Chair declared the session closed to observers, including Parties, for consideration of the agenda item regarding the specific submission and invited the Committee to resume its consideration of the submission regarding Barbados on the basis of document UNEP/FAO/RC/CC.2/2/Add.1.

10. Following its consideration of the submission, the Committee adopted decision CC-2/1/Add.1: Submission regarding Barbados. The decision set out in Annex I to the present report, among other things, expressed appreciation for the participation of Barbados in and its cooperation with the Committee during the resumed online session. It also invited Barbados to provide information about any assistance that might support it in overcoming any challenges being faced; urged Barbados to designate its national authority by 30 November 2024; and decided to keep the matter relevant to the submission regarding Barbados under review.

III. Review of systemic issues of general compliance

11. The Chair declared the session open to observers and welcomed back the observer representatives.¹

A. Laws, regulations, policies, procedures and other measures to implement the Rotterdam Convention

12. The Secretariat recalled the current mandate of the Committee as set out in the programme of work for the biennium 2024–2025 and that at the face-to-face sessions of its second meeting, the Committee had adopted decision CC-2/3 by which among other things, it requested the Secretariat to contact Parties yet to provide information or having indicated that they do not have legislation, as well as to develop, under the guidance of lead members, questions to collect information from Parties. Committee members Jimena Nieto Carrasco and Matthias Wolf had taken the lead on these activities.

13. The Secretariat informed the meeting that questions had been prepared to collect information and circulated to Parties, with responses received from 58 Parties in total (31 States and 1 regional economic integration organization on behalf of member states that are Parties to the Convention). All responses had been made available on the Convention website.²

14. The main conclusions collected from the desk review of texts of national legislation and other measures undertaken prior to the face-to-face session and from the questions to collect information were synthesized in documents UNEP/FAO/RC/CC.2/4/Rev.1 and UNEP/FAO/RC/CC.2/INF/3.

15. Two main challenges identified by Parties (12 of the 58 responding Parties in each case) as areas for further information about what may be needed to assist in overcoming challenges identified were:

(a) The need for awareness of the importance of implementing the Convention into national legislation; and

(b) Improved coordination between the interested entities.

16. The Secretariat confirmed that the conclusions from the questions to collect information and from the desk review appeared to coincide, namely that most Parties appeared to have adopted

¹ UNEP/FAO/RC/CC.2/INF/6/Rev.1.

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<https://www.pic.int/Implementation/Legalmatters/GeneralIssuesActivities/Activities20242025/Questionstocollectinformation/tabid/10008/language/en-US/Default.aspx>.

legislative and administrative measures to implement the Convention although they have yet to transmit these texts to the Secretariat. A steady increase had been noted in the number of texts available in the national legislation collection, with a significant increase since the circulation of the questions to collect information.

17. A significant challenge remained due to the lack of all the relevant procedures in the national legislation, which may have led to several institutional and governance issues. A number of actions may be taken to collect additional and specific information on all or certain difficulties being faced by Parties.

18. The Secretariat also informed the meeting that, as requested by decision CC-2/3, an online consultation had been held on 26 September 2024 with interested Parties regarding national legislation to implement the Convention. 23 participants from 21 Parties joined the consultations, raising the same challenges as highlighted in other activities, in particular challenges with coordination and information exchange with national stakeholders.

19. In all the formats of information collection on this issue, Parties recognised the value of technical assistance that had been provided to support their efforts to establish and strengthen legal frameworks to implement the Convention.

20. The Committee discussed the challenges communicated by Parties and subsequently adopted decision CC-2/3/Add.1, set out in Annex I to the present report, containing a set of recommendations for consideration by the Conference of the Parties during its twelfth meeting.

B. Notifications of final regulatory actions

21. For the resumed online session, the Committee had before it a revised note by the Secretariat on notifications of final regulatory actions (UNEP/FAO/CC.2/5/Rev.1). The Committee had adopted decision CC-2/4 at its face-to-face sessions and Committee members Jimena Nieto and Matthias Wolf had taken the lead on this matter. Decision CC-2/4 included recommendations to the Conference of the Parties and requested the development and circulation of questions to collect information.

22. Introducing the revised document, the Secretariat recalled the main issues identified in an electronic questionnaire prepared and sent out to Parties in response to the request in decision CC-1/3. Although the responses received to the 2023 questionnaire represent 36% of the Parties to the Convention, they provided useful qualitative and quantitative information about specific challenges in relation to notifications of final regulatory action, with over 70% of Parties indicating that they had taken a final regulatory action.

23. The main issues identified by respondents related to: institutional and governance challenges, in particular laws and measures in place requiring the use of risk or hazard evaluations, the need to improve coordination, and awareness raising; a lack of scientific data and resources, in particular to conduct national consultations for the effective implementation of the Convention; challenges related to national processes, including validation prior to the adoption of a final regulatory action or matching national definitions with those under the Convention. Responses indicated weak national capacity to undertake risk and/or hazard evaluations, although they also demonstrated a high level of use of the materials, tools and guidance available in relation to decision making and notification of final regulatory actions.

24. The responses to the questions to collect information had confirmed the conclusions from the earlier questionnaire about the challenges being faced. These highlighted that most responding Parties had nonetheless adopted a definition of and had measures to adopt a final regulatory action, as well as to submit it to the Secretariat within 90 days of it taking effect.

25. The Committee adopted decision CC-2/4/Add.1, as set out in Annex I to the present report, requesting the Secretariat to collect more information from Parties on the challenges being faced, noting that there would also be activities included in the draft programme of work to be considered later in the resumed session.

C. Exports and imports of chemicals listed in Annex III

26. The Committee considered the revised note by the Secretariat on exports and imports of chemicals listed in Annex III set out in document UNEP/FAO/RC/CC.2/6/Rev.1. The Secretariat recalled the mandate of the Committee as set out in the programme of work for the biennium 2024–2025 and that at the face-to-face session, the Committee had adopted decision CC-2/5 by which it amongst other things requested the Secretariat to communicate with Parties who have yet to transmit import responses for any Annex III chemicals; to continue to provide advice and assistance; and to

develop questions to collect information. The same decision also included recommendations to the Conference of the Parties in relation to technical assistance that could support Parties in addressing challenges.

27. There was no update to the information presented at the face-to-face session that had been collected from the needs assessment questionnaire and from the questionnaire on implementation of Articles 11, 12, 13 and 14 of the Convention. It was recalled in particular that there is no mechanism to systematically collect information specifically related to challenges faced by exporting Parties.

28. Since the face-to-face session of the second meeting, the Secretariat had engaged in two rounds of communications with Parties that have yet to transmit any import responses for the chemicals listed in Annex III, without response although one Party had subsequently transmitted certain import responses.

29. Committee members Helen Roberts and Karmen Kranjc took the lead on the development of questions to collect information, with questions developed targeting importing and exporting Parties circulated to Parties as described in paragraph 12 of the present report.

30. The Secretariat also organised two online consultations on 10 July 2024 for importing Parties on challenges that they may be facing related to the transmission of import responses in accordance with Article 10; and with exporting Parties on 12 July 2024 to identify and communicate to the Committee through the Secretariat challenges being faced with ensuring that exporters within their jurisdiction comply with import responses.

31. The information gathered through the online consultations and the questions confirmed that: most Parties have some measures in place to implement the Convention, linking to the partial transposition that had been noted in the earlier agenda item; different models are used by Parties; challenges faced relate mainly to cooperation and coordination between national stakeholders, in particular to ensure transmission of information for implementation. Some Parties indicated facing more problems in relation to industrial chemicals than in relation to pesticides.

32. Parties also reported facing more challenges in relation to exports than imports, with 11 of 58 responding Parties facing challenges with ensuring exporters comply with import responses whilst 8 responding Parties faced challenges related to communicating import responses to those within their jurisdiction. Communication channels for information exchange and improved coordination and cooperation between national stakeholders were identified by most responding Parties as needed to address the challenges faced. Many Parties without measures in place cited not being engaged in exports as the reason.

33. In the ensuing exchange, it was underscored that it is important to undertake further activities on this issue.

34. The Committee agreed to include work on exports and imports of chemicals listed in Annex III in its draft programme of work for the biennium 2026–2027, to be considered later in the resumed session.

D. Export notifications

35. The Committee had before it the revised note by the Secretariat on export notifications set out in document UNEP/FAO/RC/CC.2/7/Rev.1. The Secretariat recalled the current mandate of the Committee as set out in the programme of work for the biennium 2024–2025 and that at the face-to-face session, the Committee had adopted decision CC-2/6 by which among other things, it requested the development of questions to collect information on specific challenges being encountered in relation to submitting and to acknowledging receipt of export notifications.

36. Since the face-to-face session of the second meeting, Committee members Helen Roberts and Karmen Kranjc took the lead on the development of questions to collect information on this activity.

37. In its introduction, the Secretariat included additional information from responses received after preparation of the meeting document and reiterated that all responses had been made available on the Convention website.

38. It was recalled that there was no obligation to share information on export notifications with the Secretariat, therefore most of the information specific to this activity resulted from the questions developed under the guidance of the lead members.

39. The responses appeared to indicate that most Parties do not face challenges in relation to export notifications. Almost all responding Parties (50 of 58 responding Parties) had received and acknowledged receipt of export notifications. 20 of 58 responding Parties had provided export

notifications, although those that did not clarified in their responses that this was because they did not engage in exports. For those who had faced challenges, the preparation of notifications and specifically the information required in Annex V was a challenge.

40. Certain challenges reflected broader implementation challenges highlighted within other activities of the Committee, namely: legislative or administrative measures; awareness of the Convention at the national level; improved coordination and cooperation between national stakeholders. The importance of capacity building and awareness raising was underscored by a number of Parties. Members agreed that the conclusions of this activity reinforced the findings under others, including that partial legislative implementation is a challenge. Another common challenge identified by Parties was the preparation of export notifications although the overarching challenges were neither restricted to this specific activity nor exclusive to one United Nations or one prior informed consent (PIC) region. Responses from Parties highlighted the importance of capacity building and awareness raising to overcome challenges.

41. The Committee discussed the possibility of having an Excel document on the contacts database that could be downloaded for use by Parties, as requested by one responding Party. Some members indicated this could be a useful resource as long as it were dynamic so as to ensure that there were not two different sources of information. The Secretariat highlighted that a static compilation is provided every six months through the DNA Register circulated with the PIC Circular. The Committee requested the Secretariat to explore the feasibility of providing such a resource as a dynamic document that is simultaneously and automatically updated with the database online. This would be needed to ensure there were not two different lists and that there was no confusion about which is the most updated source of information.

42. The Committee maintained its recommendations to the Conference of the Parties, adopted at the face-to-face session in decision CC-2/6, with a minor change to recommend to the Conference of the Parties that the technical assistance plan for 2026–2029 emphasise the importance not only for Parties to receive support to raise awareness on the obligations under the Convention pertaining to the provision and acknowledging receipt of export notifications, including training to prepare the form for export notification, but also to complete the periodic questionnaire on Articles 11, 12, 13 and 14 of the Convention.

F. Information submission

43. The Committee considered the information in the revised note by the Secretariat on information submission set out document UNEP/FAO/RC/CC.2/9/Rev.1. The Secretariat recalled that this item had been considered as an issue of common interest between the Basel and Rotterdam Conventions' Committees, recalling the Rotterdam Convention Committee's mandate to monitor developments related to the integration by Parties of their information submission needs in actions to address them in the United Nations Sustainable Development Cooperation Frameworks, in particular interlinkages with and in relation to the Rotterdam Convention. By decision CC-2/7 adopted at its face-to-face session, the Rotterdam Convention Committee had agreed to continue monitoring in the intersessional period and had invited the Basel Convention Committee to share with it the outcomes of questionnaires circulated to Resident Coordinators, UN Country Teams and to Parties.

44. The Basel Convention Committee had shared this information in document UNEP/FAO/RC/CC.2/INF/7, together with the outcomes of the face-to-face session of its sixteenth meeting, which took place on 23–24 June and 29 June–2 July 2024 in Geneva. Members welcomed the continued participation of Jason Dunn, the Chair of the Basel Convention Committee, in the meeting following consultations between the Chairs of the Committees and subsequently with members, in accordance with the modalities agreed at the first meeting.

45. The Secretariat provided a brief summary of the conclusions of the Basel Convention Committee as set out in document UNEP/FAO/RC/CC.2/INF/7, including that about half of the responding Parties to the questionnaires had been aware of and involved in the development of Cooperation Framework processes, whilst another half had no knowledge or involvement in those processes. As such, integration of national reporting needs in those processes appeared limited. The Secretariat also laid out the recommendations from the Basel Convention Committee that would also be brought to the attention of the seventeenth meeting of the Conference of the Parties to that Convention.

46. The Secretariat also referred to a related activity on the programme of work for the Basel Convention Committee, whereby it is developing guidance on how individual Parties can integrate action to address their needs into their United Nations Sustainable Development Cooperation Frameworks. A revised version of the draft guidance would be considered at the resumed sessions of

the sixteenth meeting of that Committee (14–18 October 2024), at which point the Committee would consider finalizing and whether to submit it for consideration and possible adoption by the seventeenth meeting of the Conference of the Parties to the Basel Convention.

47. The Rotterdam Convention Committee agreed to include further work in its draft programme of work for the biennium 2026–2027, as well as to invite the Basel Convention Committee to share the outcomes of its activities related to the integration of Parties implementation needs into the United Nations Sustainable Development Cooperation Frameworks.

G. Enhanced cooperation with the Committee Administering the Mechanism for Promoting Implementation and Compliance of the Basel Convention

48. The Committee resumed its consideration of the note by the Secretariat on enhanced cooperation with the Committee Administering the Mechanism for Promoting Implementation and Compliance of the Basel Convention, set out document UNEP/FAO/RC/CC.2/10.

49. The Secretariat recalled that the Committee had agreed on modalities for cooperation with the Basel Convention Committee. Both Committees had activities of joint interest and are mandated to address a number of issues of a similar nature, including activities under the general review mandate within the current programme of works and under the specific submissions mandate of each Committee, as detailed in document UNEP/FAO/RC/CC.2/10. There was also ongoing cooperation in relation to the operations and processes of the Committees, including participation in meetings of the other Committee and the sharing of meeting reports and the provision of Secretariat support. The Secretariat, in response to a question from one member, shared information on the dialogue on illegal traffic planned during the resumed sessions of the sixteenth meeting of the Basel Convention Committee.

50. The Secretariat also highlighted decision CC-2/8 that had been adopted at the face-to-face session of the second meeting of the Rotterdam Convention Committee. It was further brought to the attention of the Committee that the Basel Convention Committee, at its sixteenth meeting, had agreed on cooperation with the newly established Compliance Committee of the Stockholm Convention on Persistent Organic Pollutants.

51. Some members expressed their support for a similar approach to cooperate with the Stockholm Convention Compliance Committee whilst others preferred to wait for that Committee to begin its work.

52. Following the discussions, the Committee agreed to include in its draft programme of work for 2026–2027 that it take steps to initiate cooperation with the Stockholm Convention Committee. The Committee also recalled its decision CC-2/8 from its face-to-face session of the meeting and reflected the corresponding activities in the draft programme of work for 2026–2027 to be considered later in the resumed session.

IV. Work programme for the biennium 2026–2027

53. The Committee considered the revised draft programme of work for 2026–2027 set out in document UNEP/FAO/RC/CC.2/11/Rev.1. The Secretariat reminded the meeting that in accordance with paragraph 26 of Annex VII, the Compliance Committee is to submit a report to each ordinary meeting of the Conference of the Parties, including the schedule of expected meetings that it considers necessary for the fulfilment of its programme of work. Recalling decision RC-11/5 adopted by the Conference of the Parties, the Secretariat highlighted that at the face-to-face session of the present meeting, the Committee considered the draft programme of work for the biennium 2026–2027 and agreed to consider during the resumed session a further revised draft work programme for the biennium 2026–2027 taking into account the outcomes of the face-to-face sessions of its second meeting. The Secretariat had subsequently prepared a revised version of the draft programme of work for consideration at the present session.

54. Following exchanges, the Committee requested the Secretariat to include the draft programme of work for the Committee for 2026–2027 reflecting the outcome of the meeting and to be implemented building on the activities undertaken under the 2024–2025 programme of work, in its report to the Conference of the Parties for consideration and possible adoption, taking into account comments received by Parties in advance of the twelfth meeting of the Conference of the Parties.

55. The draft programme of work for the Committee for 2026–2027 agreed by the Committee and reflecting the outcome of the meeting is set out in Annex II to the present report.

V. Other matters

56. No issues were considered.

VI. Adoption of the report of the meeting

57. The Committee agreed to follow the standard approach to Convention's bodies' meetings as set out in document UNEP/FAO/RC/CC.2/1/Rev.1/Add.1 containing the annotations to the provisional agenda. As such, the Rapporteur, with the support of the Secretariat, was entrusted to prepare the report of the online session and circulate it to the Committee for adoption by electronic means. It was agreed that this would be prepared as an addendum to the meeting report for the face-to-face session that had been prepared and adopted in document UNEP/FAO/RC/CC.2/12.

VII. Closure of the meeting

58. Several members commended the work of the Chair and members in continuing the work online inter-sessionally, noting the challenges with working online rather than in a face-to-face manner.

59. The Chair thanked the members and Vice-Chair for their support for a successful meeting to produce an excellent output for the Committee's work, reiterating the challenges with working online. He further urged that budget be allocated to allow for two meetings of the Committee during the biennium or otherwise that the Secretariat schedule the meetings in a manner to minimise the period between the meetings of the Committee and those of the Conference of the Parties. He further expressed his appreciation to all members for the volume of work accomplished, with particular thanks to the lead members and noted with appreciation the high level of interest and response from Parties to the call for information from the Committee.

60. The second meeting of the Rotterdam Convention Compliance Committee was closed on Monday, 30 September 2024 at 4 p.m.

Annex I

Decisions adopted by the Rotterdam Convention Compliance Committee at its second meeting (online, 30 September 2024)

Decision CC-2/1/Add.1: Submission regarding Barbados

Decision CC-2/3/Add.1: Laws, regulations, policies, procedures and other measures to implement the Rotterdam Convention

Decision CC-2/4/Add.1: Notifications of final regulatory actions

Decision CC-2/1/Add.1: Submission regarding Barbados

The Committee,

Recalling paragraph 13 of Annex VII to the Rotterdam Convention and decisions CC-1/1 and CC-2/1 of the Committee,

Recalling also the 20 February 2023 notification to Barbados regarding the possible difficulties by this Party in fulfilling its obligation to designate a national authority pursuant to paragraph 1 of Article 4 of the Convention (RC/CC/13/2023/1),

Noting that the matter of concern was not resolved within 90 days by consultation through the Secretariat with the Party concerned,

Without prejudice to future decisions by the Committee related to this or other specific submissions,

1. *Expresses* its appreciation for the participation of Barbados in and the cooperation with the Committee during the resumed session of the second meeting of the Committee;¹
2. *Requests* the Secretariat to provide once more information to Barbados on the role and responsibilities of the designated national authorities by 15 October 2024;
3. *Invites* Barbados to provide information about any assistance that might support it in overcoming any challenges being faced with the designation of its national authority pursuant to paragraph 1 of Article 4 of the Convention by 31 October 2024;
4. *Urges* Barbados to designate its national authority by 30 November 2024;
5. *Requests also* the Secretariat communicate this decision to the Subregional Office of the Food and Agriculture Organization of the United Nations in Barbados, the Caribbean Sub-Regional Office of the United Nations Environment Programme and to the United Nations Resident Coordinator;
6. *Further requests* the Secretariat to continue its communications with and support to Barbados and to report to the Committee;
7. *Decides* to keep the matter relevant to the submission regarding Barbados under review.

¹ Online, 30 September 2024.

Decision CC-2/3/Add.1: Laws, regulations, policies, procedures and other measures to implement the Rotterdam Convention

Recommendations to the Conference of the Parties

The Committee,

The Compliance Committee agreed to recommend that the Conference of the Parties at its twelfth meeting consider deciding to:

1. Recognise those Parties that have adopted legislative and administrative measures to implement the Rotterdam Convention and encourage all Parties to do so;
2. Invite Parties to continue to provide information to the Committee, using the questions to collect information, including updates to information previously provided, and request the Committee to report thereon to the thirteenth meeting of the Conference of the Parties;
3. Request the Secretariat to identify Parties' needs for assistance, assess the adequacy of other existing tools and training activities to meet those needs, as well as any updates needed to the existing tools or any new tools that need to be developed;
4. Include within the Secretariat's technical assistance plan for 2026–2029, to be considered by the Conference of the Parties at its twelfth meeting, continued support Parties to develop, establish and update laws, regulations, policies, procedures and other measures to implement the Convention, in particular to raise awareness and increase coordination among involved entities;
5. Encourage the United Nations Environment Programme (including the secretariat of the Special Programme to support institutional strengthening at the national level for implementation of the Basel, Rotterdam and Stockholm conventions, the Minamata Convention, the Strategic Approach to International Chemicals Management and the Global Framework on Chemicals and the secretariat of the Global Framework on Chemicals), the Food and Agriculture Organization of the United Nations and other relevant entities in the context of their activities in support of the technical assistance plan, to organise activities related to laws, regulations, policies, procedures and other measures to implement the Convention.

Decision CC-2/4/Add.1: Notifications of final regulatory actions

Action taken by the Committee

The Committee,

Recalling its decision CC-2/4,

Requests the Secretariat to collect more information from Parties on the challenges faced with submission of a notification of final regulatory action within 90 days of it taking effect.

Annex II

Draft programme of work of the Compliance Committee of the Rotterdam Convention for the biennium 2026–2027

I. Review of systemic issues of general compliance under the Convention

<i>Systemic issue of general compliance to be addressed</i>	<i>Activity</i>
Laws, regulations, policies, procedures and other measures to implement the Convention (Article 15 (1) and other provisions)	<p><u>Continue to monitor</u>, based on information from Parties, <u>legislative and administrative measures adopted by Parties</u> the laws, regulations, policies, procedures and other measures adopted to implement the Rotterdam Convention;</p> <p><u>Based on information from Parties</u>, identify and review, <u>difficulties relating to laws, regulations, policies, procedures and other measures to implement the Rotterdam Convention</u>;</p> <p><u>Consider and recommend to the Conference of the Parties what additional steps could be taken to increase the number of Parties with measures in place to implement the Rotterdam Convention</u></p> <p><u>Develop recommendations for consideration by the Conference of the Parties on steps that could be taken by Parties, the Conference of the Parties, the Compliance Committee and others to improve the laws, regulations, policies, procedures and other measures to implement the Convention.</u></p>
Notification of final regulatory actions (Article 5)	<p>Based on information from Parties, <u>including from a questionnaire circulated to Parties</u>, <u>continue to identify and review issues being faced by Parties in relation to the notification of final regulatory actions, including challenges faced with submission of a notification of final regulatory action within 90 days of it taking effect</u> a quantitative and qualitative analysis, and in particular with regard to the information requirements set out in Annex I to the Convention;</p> <p>Develop recommendations for consideration by the Conference of the Parties on steps that could be taken by Parties, the Conference of the Parties, the Compliance Committee and others to support Parties with the notification of final regulatory actions.</p>
Exports and imports of chemicals listed in Annex III (Articles 10 and 11)	<p><u>Compile and make available to Parties examples of communication channels for information exchange related to international trade in chemicals listed in Annex III and mechanisms for coordination and cooperation between national stakeholders</u>;</p> <p><u>Undertake further work to identify challenges being faced by importing Parties with submitting import responses</u>;</p> <p><u>Undertake further work to identify challenges being faced by exporting Parties with ensuring that exporters within their jurisdiction comply with import responses</u>;</p> <p><u>Develop recommendations for consideration by the Conference of the Parties on steps that could be taken by Parties, the Conference of the Parties, the Compliance Committee and others to support importing Parties in submitting import responses and exporting Parties with ensuring that exporters within their jurisdiction comply with import responses.</u></p> <p><u>Based on information from Parties that have yet to transmit any import responses for the chemicals listed in Annex III</u>, review challenges faced by importing Parties in submitting import responses;</p>

<i>Systemic issue of general compliance to be addressed</i>	<i>Activity</i>
	Develop recommendations for consideration by the Conference of the Parties on steps that could be taken by Parties, the Conference of the Parties, the Compliance Committee and others to support importing Parties in submitting import responses; Undertake further work to identify challenges being faced by exporting Parties with ensuring that exporters within their jurisdiction comply with import responses.
Export notifications (Article 12)	Undertake further work to identify challenges being faced by Parties in relation to the provision of export notifications and the acknowledgement of receipt thereof.
Information exchange (Article 14)	Undertake further work to identify options to improve information sharing with Parties, as well as analyse use thereof by Parties and other stakeholders; Based on information from Parties, consider options to encourage and facilitate the exchange of experiences, in particular between developing countries, on the implementation of the Convention and develop recommendations for consideration by the Conference of the Parties at its twelfth meeting on options to encourage and facilitate such information exchange, in particular between developing countries, on the implementation of the Convention. Such an activity could also take into account, as appropriate, related activities such as work under the dissemination strategy for obtaining and using information to effectively address obligations and procedures under the Rotterdam Convention, so as to avoid duplication of effort and build on existing or planned activities.
Information submission: improve timely and complete submission of information pursuant to the provisions of the Convention	Continue to monitor developments, including the development of guidance, related to the integration by Parties of their information submission needs in actions to address the United Nations Sustainable Development Cooperation Frameworks, in particular interlinkages with and the relation to the Rotterdam Convention and the relevance of development of guidance under the Rotterdam Convention on how to integrate action to address information needs into United Nations Sustainable Development Frameworks.
Enhanced cooperation with the Committee Administering the Mechanism for Promoting Implementation and Compliance of the Basel Convention and the Compliance Committee of the Stockholm Convention	Continue to cooperate Enhance cooperation with the Committee Administering the Mechanism for Promoting Implementation and Compliance of the Basel Convention <u>and take steps to initiate cooperation with the Compliance Committee of the Stockholm Convention</u> , to facilitate compliance through the exchange of views and information between the committees on activities, operations and processes of joint interest, including, as appropriate, joint sessions at meetings of the committees, and make recommendations to the Conference of the Parties.

II. Specific submissions regarding Party compliance

1. The Committee shall continue to accord priority to dealing with specific submissions regarding Party compliance received or initiated in accordance with paragraph 12 of Annex VII to the Convention.
2. The Committee shall continue to explore lessons from implementation and compliance bodies of other multilateral environmental agreements that could inform the ~~initiation of the~~ work of the Committee pursuant to paragraph 13 of Annex VII to the Convention.